

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 200PP069A

lication of:

Julie F. Schmucker-Castner, et al.

Art Unit No.: .1713

Examiner:

Serial No.:

10/602,956

Filed:

June 23, 2003

For:

**Stable Aqueous Surfactant Compositions** 

Mail Stop IDS Commissioner for Patents P. O. Box 1450

Alexandria, Virginia

22313-1450



#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below as first class mail in an envelope addressed to: Mail Stop IDS, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450, on

# INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 CFR §1.56, the Examiner's attention is directed to the documents listed below:

07/22/2003 SZEWDIE1 00000157 501501

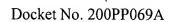
10602956

01 FC:1806

180.00 DA

#### U.S. Patents

Patent No.	<u>Inventor</u>	Issue Date
4,384,974	Guthauser	May 24, 1983
4,438,096	Preston	March 20, 1984
4,529,773	Witiak et al.	July 16, 1985
4,654,207	Preston	March 31, 1987
5,019,376	Uick	May 28, 1991
5,230,823	Wise et al.	July 27, 1993
5,656,257	Fealy et al.	August 12, 1997
5,658,577	Fowler et al.	August 19, 1997
5,720,964	Murray	February 24, 1998
6,024,946	Dubief et al.	February 15, 2000
6,165,454	Patel et al.	December 26, 2000



1713



**PATENT** 

cation of:

Julie F. Schmucker-Castner, et al.

Art Unit:

Examiner:

Serial No.:

10/602,956

Filed:

June 23, 2003

For:

**Stable Aqueous Surfactant Compositions** 

Assistant Commissioner of Patents Washington, D.C. 20231

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below as first class mail in an envelope addressed to: Mail Stop IDS, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450, on

Sir:

Transmitted herewith are the following documents related to the above-identified application:

Disclosure Under 37 CFR 1.56 with Referenced Copies USPTO Form 1449 Transmittal Letter (1 original and 1 copy) Acknowledgement of Receipt Card

Charge \$180 to Deposit Account No. 50-1501. Charge any additional fees except issue fee or credit overpayment to Deposit Account 50-1501. A duplicate of this sheet is enclosed.

Respectfully submitted,

Thoburn T. Dunlap Agent for Applicants Reg. No. 31,246

Legal Department Noveon, Inc. 9911 Brecksville Road Cleveland, Ohio 44141-3247 216-447-5918 TTD 200PP069A ids tl/mes

# Foreign Patents

Country	Document No.	Publication Date
Europe	0 463 780 B1	January 17, 1996
Japan	4182416A Abstract	June 30, 1992
Japan	4182417A Abstract	June 30, 1992
World - PCT	01/19946	March 22, 2001
World - PCT	86/05390	September 25, 1986
World - PCT	99/13837	March 25, 1999
World - PCT	99/21530	May 6, 1999
World - PCT	99/53889	October 28, 1999

## **Publications**

An article entitled Hand Dishwashing Liquid from Solutions Close to Home, Dish Care Formulary HIT-120, Rev. 8/98, BFGoodrich Company, Home Care and I & I

An article entitled Liquid Water Softener with Zeolite from Solutions Close To Home, Fabric Care Formulary HIT-210, Rev. 8/95, BFGoodrich Company, Home Care and I & I

An article entitled High Surfactant Gel from Solutions Close To Home, Hard Surface Cleaner Formulary HIT-355, Rev. 4/99, BFGoodrich Company, Home Care and I & I

An article entitled Carbopol® AQUA 30 Polymer For Home Care and Industrial & Institutional Applications from Solutions Close To Home, PDS Carbopol AQUA 30, Rev. 12/99, BFGoodrich Company, Home Care and I & I

An article entitled Novel Cosmetic Delivery Systems, edited by Shlomo Magdassi, Elka Touitou, Marcel Dekker, Inc., New York, 1999

An article entitled Acrysol® ASE-95 Acrylic Thickener-Stabilizer, from Rohm and Haas Company, Specialty Chemicals, Rohm and Haas Company, April, 1978

An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990

Attorney Docket No. 200PP069A

July 15, 2003

Page 3

An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas

Company, Innovative Detergent Polymers, Rohm and Haas, 1995

An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas

Company, Innovative Detergent Polymers, Rohm and Haas, 1995

An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas

Company, Innovative Personal Care Polymers, Rohm and Haas, 1996

An article entitled Acrysol® 22 Cosmetic Grade Thickeners from Rohm and Haas Company, Innovative

Personal Care Polymers, Rohm and Haas

An article entitled Acrysol® 33 Cosmetic Grade Rheology Modifier and Stabilizer from Rohm and Haas

Company, Innovative Personal Care Polymers, Rohm and Haas

It is respectfully requested that the foregoing information be considered by the Examiner and that a

copy of the enclosed Form PTO-1449 be returned with an indication that such information has been

considered.

The Commissioner is authorized to deduct the requisite fee of \$180 or any other applicable fee

from Deposit Account No. 50-1501.

Respectfully submitted,

hoburn T. Ounles

Agent for Applicants

Reg. No. 31,246

Legal Department Noveon, Inc.

9911 Brecksville Road Cleveland, Ohio 44141-3247

216-447-5918

July 16, 2003

TTD 200PP069A ids.1/mes

U.S. DEPARTMENT OF COMMERCE FORM PTO-1449

(Rev. 2-32)

PATENT AND TRADEMARK

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use several sheets if necessary)



	Sheet	1	(	of	1		
ATTY, DOCKET NO.		SERIAL	NO.				_
200PP069A		10/		602,956			
APPLICANT						 	_
Julie F. Schmucker-Castner, e	t al.						
FILING DATE		GROUP					_
luna 22 2002		4740					

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOC	JMEN.	T NUN	IBER	<u> </u>			DATE	NAME	CLASS	SUB- CLASS	FILING DATE	
	4	3	8	4	9	7	4	05/1983	Guthauser				
	4	4	3	8	0	9	6	03/1984	Preston			-, -,	
	4	5	2	9	7	7	3	07/1985	Witiak et al.				
	4	6	5	4	2	0	7	03/1987	Preston		Ó	· · · · · · · · · · · · · · · · · · ·	
	5	0	1	9	3	7	6	05/1991	Uick		W	0	
	5	2	3	0	8	2	3	07/1993	Wise et al.			80	
	5	6	5	6	2	5	7	08/1997	Fealy et al.		W	200	
	5	6	5	8	5	7	7	08/1997	Fowler et al.		O	ည <b>ပ</b>	
	5	7	2	0	9	6	4	02/1998	Murray		U;	~ 5	
	6	0	2	4	9	4	6	02/2000	Dubief et al.		Land San	=' O	
	6	1	6	5	4	5	4	12/2000	Patel et al.			= 11	
FOREIGN	PATEN	IT DO	CUI	MENT	īs —		-				<u> </u>	(7)	

DOC	JMEN.	T NUN	/BER				DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
0	4	6	3	7	8	0	01/1996	Europe			
4	1	8	2	4	1	6	06/1992	Japan (abstract)			
4	1	8	2	4	1	7	06/1992	Japan (abstract)			
0	1	1	9	9	4	6	03/2001	World - PCT			
8	6	0	5	3	9	0	09/1986	World - PCT			
9	9	1	3	8	3	7	03/1999	World - PCT			
9	9	2	1	5	3	0	05/1999	World - PCT			
9	9	5	3	8	8	9	10/1999	World - PCT			

#### OTHER DO

An article entitled Carbopol® AQUA 30 Polymer For Home Care and Industrial & Institutional Applications from Solutions Close To Home, PDS Carbopol AQUA 30, Rev. 12/99, BFGoodrich Company, Home Care and I & I  An article entitled Novel Cosmetic Delivery Systems, edited by Shlomo Magdassi, Elka Touitou, Marcel Dekker, Inc., New York, 1999  An article entitled Acrysol® ASE-95 Acrylic Thickener-Stabilizer, from Rohm and Haas Company, Specialty Chemicals Rohm and Haas Company, April, 1978  An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996	)	CUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Rev. 8/95, BFGoodrich Company, Home Care and I & I  An article entitled High Surfactant Gel from Solutions Close To Home, Hard Surface Cleaner Formulary HIT-355, Rev 4/99, BFGoodrich Company, Home Care and I & I  An article entitled Carbopol® AQUA 30 Polymer For Home Care and Industrial & Institutional Applications from Solutions Close To Home, PDS Carbopol AQUA 30, Rev. 12/99, BFGoodrich Company, Home Care and I & I  An article entitled Novel Cosmetic Delivery Systems, edited by Shlomo Magdassi, Elka Touitou, Marcel Dekker, Inc., New York, 1999  An article entitled Acrysol® ASE-95 Acrylic Thickener-Stabilizer, from Rohm and Haas Company, Specialty Chemicals Rohm and Haas Company, April, 1978  An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		BFGoodrich Company, Home Care and I & I
An article entitled Carbopol® AQUA 30 Polymer For Home Care and Industrial & Institutional Applications from Solutions Close To Home, PDS Carbopol AQUA 30, Rev. 12/99, BFGoodrich Company, Home Care and I & I  An article entitled Novel Cosmetic Delivery Systems, edited by Shlomo Magdassi, Elka Touitou, Marcel Dekker, Inc., New York, 1999  An article entitled Acrysol® ASE-95 Acrylic Thickener-Stabilizer, from Rohm and Haas Company, Specialty Chemicals Rohm and Haas Company, April, 1978  An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		An article entitled Liquid Water Softener with Zeolite from Solutions Close To Home, Fabric Care Formulary HIT-210, Rev. 8/95, BFGoodrich Company, Home Care and I & I
Solutions Close To Home, PDS Carbopol AQUA 30, Rev. 12/99, BFGoodrich Company, Home Care and I & I  An article entitled Novel Cosmetic Delivery Systems, edited by Shlomo Magdassi, Elka Touitou, Marcel Dekker, Inc., New York, 1999  An article entitled Acrysol® ASE-95 Acrylic Thickener-Stabilizer, from Rohm and Haas Company, Specialty Chemicals Rohm and Haas Company, April, 1978  An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		An article entitled High Surfactant Gel from Solutions Close To Home, Hard Surface Cleaner Formulary HIT-355, Rev. 4/99, BFGoodrich Company, Home Care and I & I
New York, 1999  An article entitled Acrysol® ASE-95 Acrylic Thickener-Stabilizer, from Rohm and Haas Company, Specialty Chemicals Rohm and Haas Company, April, 1978  An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		Solutions Close To Home, PDS Carbopol AQUA 30, Rev. 12/99, BFGoodrich Company, Home Care and I & I
Rohm and Haas Company, April, 1978  An article entitled Aculyn™ 22 Thickener For Use in Cosmetic and Toiletry Products by Rohm and Haas Company, Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		New York, 1999
Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990  An article entitled Acusol 820, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		Rohm and Haas Company, April, 1978
Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acusol 830, Detergent Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996		Aculyn Personal Care Polymers, Formulation Chemicals, Rohm and Haas Company, 1990
Innovative Detergent Polymers, Rohm and Haas, 1995  An article entitled Acrysol® Rheology Modifiers and Stabilizers For Personal Care from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas, 1996	Ì	Innovative Detergent Polymers, Rohm and Haas, 1995
Innovative Personal Care Polymers, Rohm and Haas, 1996	I	Innovative Detergent Polymers, Rohm and Haas, 1995
An article entitled Appropriate Crede Thickenson for Duly 111 Community		Innovative Personal Care Polymers, Rohm and Haas, 1996
Polymers, Rohm and Haas		An article entitled Acrysol® 22 Cosmetic Grade Thickeners from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas

**EXAMINER** 

An article entitled Acrysol® 33 Cosmetic Grade Rheology Modifier and Stabilizer from Rohm and Haas Company, Innovative Personal Care Polymers, Rohm and Haas

DATE CONSIDERED